



Member: Philippine Stock Exchange  
 3310 Robinson's Equitable Tower  
 ADB Avenue, Ortigas Pasig City  
 Tel Nos: (02) 633 0344/ (02) 633 0053

**CUSTOMER ACCOUNT INFORMATION FORM (CAIF)**

Individual     Joint "And"     Joint "Or"     Corporate

\*For joint accounts, you must submit 2 sets of all requirements

**INDIVIDUAL /JOINT ACCOUNT**

Account Name [Last] \_\_\_\_\_ [First] \_\_\_\_\_ [Middle] \_\_\_\_\_

Residential Address \_\_\_\_\_

Telephone No. \_\_\_\_\_ Mobile No. \_\_\_\_\_ Email \_\_\_\_\_

Birth Place \_\_\_\_\_ Date of Birth \_\_\_\_\_ TIN \_\_\_\_\_

Nationality \_\_\_\_\_ Civil Status \_\_\_\_\_ Sex \_\_\_\_\_

Employer \_\_\_\_\_ Occupation \_\_\_\_\_

Business Address \_\_\_\_\_ Telephone No. \_\_\_\_\_

Name of Spouse (if applicable) \_\_\_\_\_

**FOR INSTITUTION / CORPORATE / PARTNERSHIP ACCOUNT**

Account Name \_\_\_\_\_

Nature of Business \_\_\_\_\_ SEC Registration No. \_\_\_\_\_

Business Address \_\_\_\_\_ Date of Registration \_\_\_\_\_

Nationality \_\_\_\_\_ Telephone Number \_\_\_\_\_

**ACCOUNT INFORMATION**

Account Type:             Cash                             Margin                             Discretionary                     Institutional  
 Investment Objective:    Long Term                     Capital Preservation        Growth                             Speculation

Bank Account Name	Bank	Branch	Account Number

To which proceeds of sale of stocks shall be credited

**SETTLEMENT INSTRUCTIONS**

Registry of Certificates:     In Street                             In Client's Name  
 Confirmation of orders would be via:  Courier                     Facsimile                     E-mail  
 Other persons authorized to receive checks and other documents:

Name: \_\_\_\_\_ Relationship: \_\_\_\_\_ Signature: \_\_\_\_\_

**SOURCE/S OF FUNDS:**

Annual Income:             Less than 1M                     1M to 5M                             More than 5M  
 Asset:                             Less than 1M                     1M to 5M                             More than 5M  
 Asset Net Worth:             Less than 1M                     1M to 5M                             More than 5M  
 Are you an officer /director of a publicly listed company?             YES                             NO

If YES, state the name of the company and position \_\_\_\_\_

Do you have an account with another Broker?                             YES                             NO

If YES, state the name of the Broker \_\_\_\_\_

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**FOR DISCRETIONARY ACCOUNT** (Not applicable for online accounts)

\_\_\_\_\_  
 Signature over printed name of person authorized to exercise discretion

\_\_\_\_\_  
 Client Signature over Printed Name

Date discretion was granted: \_\_\_\_\_

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**CUSTOMER'S ACKNOWLEDGEMENT**

I hereby confirm that all information given in this CAIF are true and correct. I authorize Timson Securities Inc. (TSI) to verify information provided.

\_\_\_\_\_  
 Signature over Printed Name

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**FOR TIMSON'S USE ONLY**

Date:	Salesman:	Reviewed by:	Accepted and Approved by:
		ASSOCIATED PERSON	

## ONLINE TRADING AGREEMENT

This agreement contains important information regarding the terms and conditions which apply to TIMSON Securities, Inc. This Online Trading Service Agreement (the "Agreement") will cover the Online Trading Facility which allows access through the Internet of your (the "Customer") Online Trading Account with TIMSON Securities, Inc. (the "Broker"). In consideration of the Broker accepting and maintaining an account for you, you hereby agree that you have read, understand, consent and agree to all the terms and conditions contained in the following Agreement:

All the information you provided in your Account Application is accurate and truthful. If more than one person signs the TIMSON Securities, Inc. new account application or is indicated as the account owner on this account form, their obligation under this Agreement shall be joint and several. Unless otherwise indicated, a joint account shall be deemed to be an "and/or" account. For an "and/or" account, the consent of any one of the signatories shall constitute sufficient authority for the execution of any instructions, orders, consent, and communications which TSI may believe to have originated from any one of the signatories, and all of them shall be bound thereby. With respect to any action taken or not taken by TSI in reliance upon instructions, orders, consent, or communications believed by TSI to be those of one of the signatories, TSI shall be held free and harmless against any and all losses, damages, costs, penalties, fines, and taxes which may be incurred as result thereof.

TSI may charge commissions and other fees for execution or any other services furnished to you and you agree to pay the fees and charges as they apply to your account transactions and other services you receive. TSI may require you to make an initial minimum deposit **P 25,000.00** open your account and to maintain a minimum balance in the account thereafter, in order to avoid any maintenance fees.

Fees and Charges	Buying	Selling
Commission	0.25% or P20 whichever is higher	0.25% or P20 whichever is higher
Vat	12% of Commission Value	12% of Commission Value
SCCP Charges	0.01% of Gross Value Traded	0.01% of Gross Value Traded
PSE Fees	0.005% of Gross Value Traded	0.005% of Gross Value Traded
Sales Tax		0.5% of Gross Value Traded

### Others:

- A. Upliftment (Certificate Request) Fee: PHP 170.00
- B. Lodgement Fee (Depositing a Stock Certificate): If transfer office is a **bank**, PHP 100.00 per issue plus PHP 20.00 per certificate. If transfer office is a **non-bank**, PHP 112.00 per issue plus PHP 22.40 per certificate.
- C. Transfer Fee (Broker to broker): PHP 75.00 per stock.

\*NOTE: If your Timson Trade account is inactive for **six (6) consecutive months**, TSI reserves the right to transfer your account to a traditional account (broker-assisted trading) with a commission charge of 0.25% of Gross Value Traded or 150.00, whichever is higher.

TSI has no responsibility whatsoever for any such determination while access to investment recommendation, advice, option, judgment is available through this site and none are developed or recommended by TSI. You assume full responsibility for any transactions placed in your account, electronically or otherwise, and any investment decision or strategy that you make or utilize is done at your sole discretion and at your own risk.

To process orders to purchase securities, TSI generally requires that your account contain sufficient funds equal to or greater than the purchase price of the securities prior to the trade date. If any order in your account is inadvertently accepted and executed without sufficient funds, you understand that your account will be subject to cancellation or liquidation at TSI discretion. You are responsible for your orders, including any orders that exceed available funds in your account and you must monitor the status of your account including any orders at all times.

TSI generally requires that prior to the acceptance of a sell order, the stock certificate be deposited/PCD for lodgement into your account. You agree that any order in your account that is inadvertently accepted without negotiable certificates in your account will be subject to cancellation. You are responsible for any losses or expenses incurred by TSI because of your failure to make such delivery. **SHORT SALE OF SECURITIES IS NOT ALLOWED.**

TSI will not be responsible for the accessibility, transmission quality outages to, or malfunction of any telephone circuits, computer hardware, software, or related/incidental problems. You understand that it is your responsibility to review upon first receipts, all confirmations of the execution of orders, transactions, all account statements, and any notices and other communications (TSI email/Fax/Delivery). In case of a change in address, you are responsible to give TSI notice through writing or electronic mail.

TSI may restrict or prohibit trading of securities and /or other property in your account and may terminate your account at any time, at their sole discretion and without prior notice to you, and you shall nevertheless remain liable for all your obligations to TSI under this Agreement.

TSI has no obligation to review you orders and be responsible for determining the suitability of your orders and your investment decisions. You must monitor your account to determine whether any order you place was executed or rejected by us. You acknowledge that you are the sole and exclusive owner and the only authorized user of your Account number, User name and Password, which provides access to your account at TSI. You understand that you shall accept full responsibility for the use, protection and confidentiality of the password as well as for all transactions and information changes entered into your account using the password. TSI, its affiliates, their respective officers, directors, agents, and employees are not responsible and liable for losses caused directly or indirectly by conditions beyond their control, including but not limited to flood, extraordinary weather conditions, earthquake, other acts of God, fire, war, insurrection, riot, terrorist act, labor dispute, accident, action of government, communications, power failure, equipment or software malfunction.

This agreement shall be governed by the laws and applicable regulations of the Republic of the Philippines. All your orders for sales or purchases of securities shall be subject to the prevailing rules and regulations of the PSE, or any exchange of which TSI is a member, the SEC, the PCD, or such other Clearing House of the PSE, and other relevant government or private entities.

In the absence of any written instructions to the contrary, all securities shall be registered in TSI's name as street certificates.

TSI is not responsible for any delay or error in any information or services you use on TSI's website/online trading platform or for systems failure or damages to my computer or software as a result of using TSI's website/online trading platform. You are responsible for the equipment required to use our online services, and for all fees and charges incurred by you in accessing them. You are responsible for ensuring an appropriate level of security by encryption or any other appropriate means in all communications with us. You will provide us with your email-address (other than through website) and will promptly update us of any changes so that we can communicate with you electronically.

This agreement shall continue until we receive a signed notice of revocation, and in the case of such revocation, it shall continue to be effective as to transactions entered into prior thereto. I understand that you may at any time, at your sole discretion and without prior notice, prohibit, or restrict your access to the use of the website, online trading platform, or related services and your ability to trade. The closing of an account will not affect the rights and /or obligations of either party incurred prior to the date that the account is closed.

I agree to be bound by the Terms and Conditions relative to this Account as stated in this form, as well as by the Philippine Securities laws, rules of the PSE, specifically the rules on Direct Market Access, CMIC, clearing agency, and other pertinent laws, rules and regulations. I shall be held liable for any breach of such laws, rules and regulations. I shall be deemed to have read, understood and agreed to be bound by these Terms and Conditions. I hereby certify that the information given in the Customer Account Information Form (CAIF) is true and correct to the best of my knowledge.

\_\_\_\_\_  
Client Signature over Printed Name

\_\_\_\_\_  
Date

<b>SPECIMEN SIGNATURE CARD</b>			
Civil Status :	<input type="checkbox"/> Single <input type="checkbox"/> Married	Account Type:	Individual <input type="checkbox"/> Corporate <input type="checkbox"/>
FULL NAME			
Address			
TIN	TEL. No.	Citizenship	
For Individual Applicants: Specimen Signatures    1.) _____ ( Please sign twice )    2.) _____			
For corporate Applicants : Use Reverse side for specimen signature.			Signature Verified :
<b>IMPORTANT :</b> Certificates will not be transferred unless a specimen signature of the stockholders is on file with the transfer agents of the company. For your protection, please fill out this card and return to TIMSON SECURITIES, Inc 33rd Floor Robinson's Equitable Tower ADB Avenue corner Poveda St. Ortigas Center Pasig City. Tel : (02) 633 0344 / (02) 633 0053			<b>TIMSON SECURITIES, INC</b>

<b>SPECIMEN SIGNATURE CARD</b>			
Civil Status :	<input type="checkbox"/> Single <input type="checkbox"/> Married	Account Type:	Individual <input type="checkbox"/> Corporate <input type="checkbox"/>
FULL NAME			
Address			
TIN	TEL. No.	Citizenship	
For Individual Applicants: Specimen Signatures    1.) _____ ( Please sign twice )    2.) _____			
For corporate Applicants : Use Reverse side for specimen signature.			Signature Verified :
<b>IMPORTANT :</b> Certificates will not be transferred unless a specimen signature of the stockholders is on file with the transfer agents of the company. For your protection, please fill out this card and return to TIMSON SECURITIES, Inc 33rd Floor Robinson's Equitable Tower ADB Avenue corner Poveda St. Ortigas Center Pasig City. Tel : (02) 633 0344 / (02) 633 0053			<b>TIMSON SECURITIES, INC</b>

For Corporate Applicants Only :  
Corporate Officers Authorized to sign and endorse certificates

Name and Position

1.)	1.)	_____
	2.)	_____
2.)	1.)	_____
	2.)	_____
3.)	1.)	_____
	2.)	_____
4.)	1.)	_____
	2.)	_____
5.)	1.)	_____
	2.)	_____

Please attach all relevant documents authorizing the above officers to sign

For Corporate Applicants Only :  
Corporate Officers Authorized to sign and endorse certificates

Name and Position

1.)	1.)	_____
	2.)	_____
2.)	1.)	_____
	2.)	_____
3.)	1.)	_____
	2.)	_____
4.)	1.)	_____
	2.)	_____
5.)	1.)	_____
	2.)	_____

Please attach all relevant documents authorizing the above officers to sign